

## POST TRADE CONFIRMATION

### Script of conversation between dealer and client AFTER placing order in the Trading System

#### a) Order Execution

Hello Sir/Madam - M I talking to Mr./ Ms. .... <If answer is YES, conversation with clients should be as mentioned below. If answer is NO then further conversation may not be required >

This is to confirm that your order for Buy/Sell (Scrip Name), \_\_\_\_\_ quantity @rate in BSE/NSE in client code (00000) (Cash) has been executed.

Order executed in (exchange) for **Future** (Index / Scrip - Name), (Expiry), (Strike price), Future \_\_\_\_\_ (Lots) @rate in client code (0000000)

Order executed in (exchange) for **Options** (Index/ Scrip - Name), (Expiry), (Strike price), (Option type) \_\_\_\_\_ (Lots) @rate in client code (0000000)

Order executed in (exchange) for **Commodity** Future (Commodity - Name), (Expiry), (Strike price), Future \_\_\_\_\_ (Lots) @rate in client code (0000000)

<Express affirmation from client "Yes" should be recorded on the phone confirming the trade. In case client disagrees for any trade or traded scrip or traded quantity or traded price or any other dispute related to the trade, the same should be immediately informed to RA and necessary trade transfer actions to be taken as per the existing process>

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#### b) Pending Order

Hello Sir/Madam - M I talking to Mr./ Ms. .... <If answer is YES, conversation with clients should be as mentioned below. If answer is NO then further conversation may not be required >

This is to inform you that Your Buy/Sell order in (Exchange) for Scrip Name \_\_\_\_\_ Quantity @ rate has remained pending at the market close and stands cancelled in client code (000000). Fresh instruction will be required from you, in case you wish to pursue the said buy/sell order (Cash).

This is to inform you that Your Buy/Sell order for **Future** (Index/Scrip – name), (Expiry) for \_\_\_\_\_ (Lots) @rate has remained pending at the market close and stands cancelled in client code (000000). Fresh instruction will be required from you, in case you wish to pursue the said buy/sell order.

This is to inform you that Your option order for **Options** (Index/Scrip – name) (Strike Prices), (expiry), CE/PE for \_\_\_\_\_ (Lots) @rate has remained pending at the market close and stands cancelled in client code (000000). Fresh instruction will be required from you, in case you wish to pursue the said buy/sell order

This is to inform you that Your Buy/Sell order for Future (**Commodity** – name), (Expiry) for \_\_\_\_\_ (Lots) @rate has remained pending at the market close and stands cancelled in client code (000000). Fresh instruction will be required from you, in case you wish to pursue the said buy/sell order.

**<Express affirmation from client “Yes” should be recorded on the phone confirming the pending order.** In case client disagrees for any order or traded scrip or traded quantity or traded price or any other dispute related to the trade, the same should be immediately informed to RA and necessary trade transfer actions to be taken as per the existing process>