



**Guardian Details** (In case First / Sole Applicant is minor) / **Contact Person- Designation / POA Holder** ( In case of Non- Individual Investors)

Mr. / Ms.  Relationship with Minor/Designation

PAN  CKYC No.  Gender  Male  Female  Transgender

Mobile No.  Email ID

The Email ID belongs to (Mandatory Please ✓)  Self  Spouse  Dependents  POA  Custodian  Guardian

The Mobile No. belongs to (Mandatory Please ✓)  Self  Spouse  Dependents  POA  Custodian  Guardian

**Date of Birth Proof for minors (Any One)**

Birth Certificate  Marksheet (HSC/ICSE/CBSE)  School Leaving Certificate  Passport  Others

**Demat Account Details**  NSDL  CDSL

Physical Mode  Demat Mode (Mandatory to provide the demat details in case mode of holding tick as demat mode)

NSDL  Beneficiary A/C No.

CDSL

Please Note: Demat Account Details of First / Sole Applicant (Name should be as per demat account) (Note: Please attach copy of Client Master List.)

**Tax Status** (Applicable for First / Sole Applicant)

Resident Individual  Foreign National  Public Limited Company  Government Body  AOP / BOI  Defense Establishment  On behalf of Minor

Sole Proprietorship  Private Limited Company  Financial Institution  Trust / Society / NGO  Other

HUF  Partnership Firm  Body Corporate  FII  Non Profit Organization / Charities  NRI  LLP  Bank  Foreign Portfolio Investor  QFI

**Overseas Address** Address for Communication (for NRI applicants)  Indian  Overseas

Address (Mandatory for NRI/FII applicant\*)

Country  Zip Code

**Email Communication** ( Please tick ✓ )

Default communication mode is through 'email'. If email address is not provided then please 'Opt-in' to receive below documents in physical copy by ticking the option below:

Annual Report  Abridged Annual Report  Other Statutory Information

**2. KYC Details** (Mandatory - Refer Instruction No XI for details)

**First Applicant / Guardian:**  Business  Service  Professional  Agriculturist  Housewife  Student  Defence  Bureaucrat  Forex Dealer  Unlisted Company  Body Corporate  Listed Company  Others

**Second Applicant:**  Business  Service  Professional  Agriculturist  Housewife  Student  Defence  Bureaucrat  Forex Dealer  Unlisted Company  Body Corporate  Listed Company  Others

**Third Applicant:**  Business  Service  Professional  Agriculturist  Housewife  Student  Defence  Bureaucrat  Forex Dealer  Unlisted Company  Body Corporate  Listed Company  Others

**Gross Annual Income** ( Please tick ✓ )

**First Applicant / Non-individuals:**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lac  >25 Lacs - 1 Crore  > 1 Crore

OR Net worth (Mandatory for Non - Individuals) ₹  as on  (Not older than 1 year)

**Second Applicant:**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lac  >25 Lacs - 1 Crore  > 1 Crore

as on  (Not older than 1 year)

**Third Applicant:**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lac  >25 Lacs - 1 Crore  > 1 Crore

as on  (Not older than 1 year)

CHECKLIST: Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory / Notary Public)

Documents	Individual	HUF	Companies / LLP	Societies	Partnership Firms	Investment through POA	Trusts	NRI	FII's	PIO	FPI#
Copy of PAN Card	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Declaration under FATCA	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution/ Authorization to invest			✓	✓	✓		✓				✓
List of authorized signatories with specimen signatures			✓	✓	✓	✓	✓			✓	✓
Trust Deed							✓				
Ultimate Beneficial Ownership (UBO)		✓	✓	✓	✓		✓		✓		✓
Bye-laws				✓							
Partnership Deed					✓						
Certificate of Registration									✓		✓
Notarized POA						✓					
PIO Card										✓	
Foreign Inward Remittance Certificate										✓	

\$-For FII's copy of SEBI registration certificate should be provided. # Certificate of registration granted by designated depository participants on behalf of SEBI.

**For Individuals ( Please tick ✓ )**

	First Applicant:	Second Applicant	Third Applicant
I am Politically Exposed Person			
I am Related to Politically Exposed			
Not Applicable			

**For Non-Individual Investors ( Please tick ✓ )**

Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company :  Yes  No (If No, please attach mandatory UBO Declaration)

Foreign Exchange / Money Charger Services  Yes  No      Gaming / Gambling / Lottery / Casino Services  Yes  No      Money Lending / Pawning  Yes  No

**3. Non-Profit Organization (NPO)**

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).  Yes  No

If yes, please quote Registration No. of Darpan portal of Niti Aayog

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

**4. Power of Attorney (POA) If investment is being made by a Constitutional Attorney, please submit notarised copy of POA**

POA NAME Mr. / Ms. / M/s.

PAN

**5. Nomination Details ( Please tick ✓ )**

- I/We do hereby nominate the undermentioned Nominee(s) to receive the Units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payment and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.
- OR**
- I/We hereby confirm that I/We do not wish to appoint any nominee(s) for my mutual fund units held in my/our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

Nominee details	Nominee 1	Nominee 2	Nominee 3
Name			
Relationship with Primary Account Holder			
PAN or any other documents			
Date of Birth			
Proportion (%)*			
Name and the Address of the Guardian (to be furnished in case the nominee is minor)			
Relationship with Minor			
PAN of Guardian			
Signature of Guardian / Nominee			

\* (%) by which the units will be shared by each nominee (% to aggregate to 100%)

**Signature (s)**

"Should be signed by all unit holders including joint holders, irrespective of mode of holding"

SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT
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**6. Lumpsum/New SIP-Investment Details\* Choice of Scheme/Plan/Option For SIP Investment Auto-Debit Form is mandatory (Refer Instruction No. VI)**

Scheme \_\_\_\_\_ Plan:  Regular  Direct

Option:  Growth  IDCW      Sub Option:  Payout of IDCW  Reinvestment of IDCW  Transfer of IDCW (Specify in Target Scheme)

Target Scheme of Samco \_\_\_\_\_ Plan:  Regular  Direct      Option:  Growth

## 7. Bank Account Details

Account No  Account Type (Please ✓):  SB  Current  NRO  NRE  FCNR

Bank Name  Bank Address

City  Pin  IFSC CODE  MICR CODE

## 8. Payment Details

Mode of Payment (Please ✓)  RTGS/NEFT/Fund Transfer  Demand Draft  Cheque  One time Mandate

Cheque No  Date  Gross Amount ₹

Net Amount ₹  DD Charges ₹

Bank Details:  Same as above (Please tick (✓) if yes)  Different from above (Please tick (✓) if it is different from above and fill in the details below)

Bank/Branch & City

Account No  Account Type (Please ✓):  SB  Current  NRO  NRE  FCNR

## 9. FATCA/CRS Details - Non Individual Investors should mandatory to fill separate FATCA/CRS details form

(Refer Instruction No.XVIII)

The below information is required for all applicants/guardian

	Place / City of Birth	Country of Birth	Country of Citizenship / Nationality		
First Applicant / Guardian			<input type="checkbox"/> Indian	<input type="checkbox"/> U.S.	<input type="checkbox"/> Others <u>Please Specify</u>
Second Applicant			<input type="checkbox"/> Indian	<input type="checkbox"/> U.S.	<input type="checkbox"/> Others <u>Please Specify</u>
Third Applicant			<input type="checkbox"/> Indian	<input type="checkbox"/> U.S.	<input type="checkbox"/> Others <u>Please Specify</u>

Is your Tax Residency/Country of Birth/Citizenship/Nationality other than India?  Yes  No (please ✓)

If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below, In case of POA, the POA holder should mandatorilly fill Annexure I for complete details.

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	Identification Type (TIN or other please specify)
First Applicant / Guardian				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Second Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Third Applicant				Reasons <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

Reason A - The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents

Reason B - No TIN required (Select this reason only if the authorities of the country of tax residence do not require the TIN to be cancelled)

Reason C - Others please state the reasons thereof

## 10. Systematic Withdrawal Plan

Scheme  Plan:  Regular  Direct Option:  Growth  IDCW

Sub Option:  Payout of IDCW  Reinvestment of IDCW  Transfer of IDCW (Specify in Target Scheme)

Amount (in figures): ₹  Amount (in words)

SWP Frequency: (Please ✓):  Monthly  Quarterly  Half Yearly  Yearly Option Debit Date:  1  5  10  15  25

SWP Period: From Month  To Month

## 11. Declaration and Signature(s)

Having read and understood the contents of the Scheme Information Document (SID) of the scheme applied for and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to Samco Mutual fund for units of such Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents.

I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with PMLA/KYC/FATCA norms. I/We hereby, further agree that the Fund can directly credit all the redemption amount to my bank details given above. I/We hereby declare that the particulars stated above are correct.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them. Applicable to investors who have not opted for nomination facility. I/We hereby confirm that it is my/our informed decision not to avail the nomination facility offered by Samco Mutual Fund.

**Applicable to NRI only:** I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (✓) (including amount of Additional Purchase Transaction made in future)

Repatriation  Non Repatriation

Date

Place

Signature (s)

SOLE / FIRST APPLICANT

SECOND APPLICANT

THIRD APPLICANT