SYSTEMATIC INVESTMENT PLAN & TOP UP FORM

Distributor/ RIA Code	Sub Agent ARN	Sub Agent Code/Bank Branch Code/Internal Code	Employee Unique Identification Number
ARN ARN-118251			

EUIN Declaration: I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker and the distributor has not charged any advisory fees on this transaction. If no ARN is mentioned investment will be treated as Direct.
RIA Declaration: I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above-mentioned SEBI-Registered Investment Adviser/RIA. For Transaction Charges refer SID/KIM.

Signature of Sole/First Applicant/Guardian | Signature of Second Applicant | Signature of Third Applicant

FOLIO No. ______ Sole/First Applicant (Mr./Ms.): FIRST NAME MIDDLE NAME LAST NAME

DETAILS OF SIP INVESTMENT (Cheque should be in the favour of scheme specific account)

Scheme: ______

Cheque No: ______ Date: ______ Drawn on Bank: ______

Each SIP amount: ₹ ______ SIP Frequency (✓) ☐ Weekly (Mention Day) ☐ Fortnightly (1st or 16th as applicable) ☐ Monthly (Any Date) ☐ Quarterly (Any Date) (Default - 10th)

SIP Date D D SIP Start Month/Year: M M Y Y Y Y SIP End Month/Year M M Y Y Y Y

SIP Enrollment period should be less than 40 years and it should be less than or equal to the mandate tenure.
Investors can either submit a cheque for the first Installment or can submit a photocopy of a cancelled cheque of the bank/bank statement for which one time bank mandate is to be registered.

SIP TOP-UP DETAILS

TOP-UP Frequency (✓): ☐ Half Yearly ☐ Yearly (Under Quarterly SIP, the SIP TOP-UP frequency available is Yearly)
(If TOP-UP frequency is not selected, then the default option will be Yearly.)

Fixed SIP TOP-UP Amount (Rs.): ______ Variable SIP TOP-UP: ☐ 10% ☐ 15% ☐ 20% ☐ other ______ (multiples of 5% only)

Minimum Top-Up Amount is Rs.1000/- & in multiples of Rs.100/- only.)

SIP TOP-UP Start Month/Year: M M Y Y Y Y SIP Top Up End Month/Year M M Y Y Y Y

DEMAT ACCOUNT INFORMATION (Mandatory for crediting units in demat account)

If you wish to hold your investment in dematerialised mode, please furnish the below details and enclose a copy of the Client Master/Transaction Cum Holding Statement/ Cancelled delivery instruction slip that you may have received from your Depository.

NSDL ☐ DP Name ______ DP ID I N ______ Beneficiary Account No. ______

CDSL ☐ DP Name ______ Beneficiary Account No. ______

Signature(s) as per Helios Mutual Fund Records (in case you have existing folio) (Mandatory)

Signature of Sole/First Applicant/Guardian | Signature of Second Applicant | Signature of Third Applicant

ONE TIME BANK MANDATE

(NACH/OTM/Direct Debit Mandate Form)

UMRN F O R O F F I C E U S E O N L Y Date D D M M Y Y Y Y

Tick (✓) CREATE ✓ MODIFY X CANCEL X

Sponsor Bank Code FOR OFFICE USE ONLY Utility Code FOR OFFICE USE ONLY

I/We hereby authorize **HELIOS MUTUAL FUND** to debit (tick ✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number ______

with Bank Name of customers Bank IFSC ______ or MICR ______

an amount of Rupees Amount in words ₹ ______

FREQUENCY ☒ Mthly ☒ Qtly ☒ H-Yrly ☒ Yrly ☑ As & when presented DEBIT TYPE ☒ Fixed Amount ☑ Maximum Amount

PAN ______ Phone No. +91

Reference ______ Email ID ______

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.
This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/Corporate to debit my account based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/Corporate of the bank where I have authorized the debit.

PERIOD
From D D M M Y Y Y Y
To D D M M Y Y Y Y

Signature of Primary Account Holder | Signature of Account Holder | Signature of Account Holder
1. Name as in Bank records | 2. Name as in Bank records | 3. Name as in Bank records

As per the NPCI circular dated October 31, 2023, effective April 1,2024, the mandate can be for a maximum duration of 40 years from the date of application.

ACKNOWLEDGEMENT – HELIOS MUTUAL FUND - SIP + TOP-UP FACILITY FORM

FOLIO No. ______

Name of the Investor: ______

Scheme Name, Plan & Option: ______ SIP Amount: ₹ ______

Fixed SIP Top-Up Amount: ₹ ______ Top-Up Frequency (✓): ______

Variable SIP TOP-UP: ☐ 10% ☐ 15% ☐ 20% ☐ other ______ (multiples of 5% only)

TERMS AND CONDITIONS

1. Please refer Scheme Information Document (SID) and Key Information Memorandum (KIM) for applicable NAV, Risk Factors, Load (exit/entry) and other information before investing.
2. Completed Application form and SIP Enrollment Form along with the first cheque should be submitted to the AMC Branches/CAMS ISCs
3. Investors can either submit a cheque for the first Installment or can submit a photocopy of a cancelled cheque of the bank/branch for which NACH is to be registered.
4. SIP will be auto cancelled by the AMC in case of 3 consecutive failed debit attempts for SIPs with weekly, fortnightly & monthly frequencies/intervals. In case of quarterly frequency, the same shall stand cancelled upon 2 consecutive failed debit attempts.
5. NACH/eNACH mode of payments will be available for investments in SIP.
6. Investors can avail NACH facility by duly filling up and submitting the SIP Enrolment cum NACH Mandate Form. The cheques should be in favour of scheme specific account for example, "**Helios Flexi Cap or Helios Large & Mid Cap**" and crossed "Account Payee Only", and the cheques must be payable at par.
7. Further, Investors/ unitholders subscribing for SIP are required to submit SIP request at least 21 calendar days prior to the date of first debit date. SIP start date shall not be beyond 100 days from the date of submission of request.
8. All terms and conditions for SIP/STP, including Exit Load, if any, prevailing in the date of SIP/ STP enrolment/ registration by the fund shall be applicable.
9. Units will be allotted for the amount net of the bank charges, if any. On receipt of the post-dated cheques, the Registrar/AMC will send a letter to the Unitholder confirming that his/her name has been included in the Systematic Investment Plan. The cheques will be presented on the dates mentioned on the cheque and Units will be allotted accordingly. A fresh Account Statement / Transaction Confirmation will be mailed to the Unitholder, indicating the new balance to his/her credit in the Account. An investor will have the right to discontinue the Systematic Investment Plan, subject to submitting the request 2 business days prior to the next due date of SIP.

   Please note: If debit instructions have already been sent prior to the effective date of cancellation, units will be allotted upon the realization of funds. The cancellation will be done before the subsequent SIP date.

Minimum number of instalments and amounts under various frequencies are as below:

Frequency	Specified date*	Minimum amounts per instalments	Minimum number of instalments
Weekly	Any day (Monday to Friday) (If no day is selected Monday will be the default day)	Rs. 1000/- and in multiples of Re. 1 thereafter	12
Fortnightly	1st and 16th day of each month, as applicable (1st and 16th of the month will be the default date).	Rs. 1000/- and in multiples of Re. 1 thereafter	12
Monthly (Default Frequency)	Any date (10th will be the default date)	Rs. 1000/- and in multiples of Re. 1 thereafter	12
Quarterly	Any date (10th will be the default date)	Rs. 1000/- and in multiples of Re. 1 thereafter	6

*In case the date chosen for SIP falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.

10. **New Investor** - If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application.

    Investors are encouraged to use the multiple SIP Common application form in case they wish to register SIPs in more than one scheme of Helios Mutual Fund. However, if the investor fails to mention the name of any scheme in the Multiple SIP Common Application form, the Fund reserves the right to reject the SIP request.
11. **Existing Investor** - If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP in the existing scheme (provided the scheme has SIP facility) available in the investor's Folio. In case Multiple Schemes are available in the folio then Fund reserves the right to reject the SIP request if the investor fails to mention the scheme name.

    Investors are encouraged to use the Multiple SIP & Top Up Form in case they wish to register SIPs in more than one scheme of Helios Mutual Fund. However, if the investor fails to mention the name of any scheme in the Multiple SIP & Top Up Form, the Fund reserves the right to reject the SIP request.
12. In case SIP date is not selected, if multiple SIP dates are opted for or if the selection is not clear then the SIP will be registered on 10th (default date) of each Month/Quarter, as applicable.
13. If the investor has not mentioned the SIP start Month, SIP will start from the next applicable month, subject to completion of 21 calendar days lead time from the receipt of SIP request.
14. In case the end date mentioned on SIP form falls beyond the end date mentioned in the fresh / new One Time Mandate (OTM) form or beyond the end date mentioned in the registered existing OTM, then SIP shall be registered as per the end date of the OTM mandate.
15. In case SIP "end Month/Year" is incorrect or not mentioned by the investor in the SIP form, then the AMC reserves the right to consider the SIP end date as five years from the start date by default.
16. For SIP applications received during NFO Period, the SIP start date shall be at least 21 calendar days after the NFO allotment date.

SIP TOP UP Facility: Investors can opt for SIP TOP UP facility with Fixed Top Up option or Variable Top Up option, wherein the amount of the SIP can be increased at fixed intervals. In case the investor opts for both the options, the Variable Top Up option shall be triggered.

The Fixed TOP up amount shall be in multiple of Rs 1000/- and in multiple of Rs 100 thereafter.

Variable TOP UP would be available in at 10%, 15% and 20% and such other denominations (over and above 10%, 15% and 20%) as opted by the investor in multiples of 5%.

The frequency is fixed at Yearly and Half Yearly basis. In case the top up frequency is not specified, Default will be considered as yearly frequency.

In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

SIP Top-Up facility shall also be available for the existing investors who have already registered for SIP facility without Top-Up option. The SIP Top-up request shall be registered within 15 days before the next trigger of the existing SIP.

SIP Top-up facility can be started after a minimum of 6 months from the date of 1st SIP. If the end-date of the Top-up facility is not mentioned the Top-up facility will be continued up till the tenure of the SIP. For example, if the end date of the Top-up facility is not mentioned; then the Top-up will continue till the end of SIP tenure.

The top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top up option.

In case, the SIP Top up is cancelled, the SIP will not be ceased. The existing SIP will not ceased and SIP will be continue with the last topped up amount.

Investors should ensure the amount mentioned in the OTM is on the higher side to be able to accommodate the increase as and when the top up amount is triggered. In case the OTM amount is lesser than the base amount + top up amount for any trigger in future, the SIP with Top-Up Facility will stand cancelled.

All other terms & conditions applicable for regular SIP will also be applicable to Top-up SIP. The Trustee / AMC reserves the right to change / modify the terms of the SIP from time to time on a prospective basis.

Fixed TOP-UP: With this option, investors can increase SIP amount at regular intervals with fixed amount. The minimum TOP-UP amount has to be Rs.1000 and in multiples of Rs.100 thereof. For said option SIP TOP-UP frequency is at Half Yearly and Yearly basis. In case of Quarterly SIP, only the yearly frequency is available under SIP TOP UP.

Please view the illustration below for Fixed Top-up.

- SIP Tenure: 10 Oct 2023 to 10 Sept 2028 • Monthly SIP Installment: Rs. 5000/-
- TopUp Amount: Rs.1000/- • Top Up Frequency: Yearly
- Top-up Start Date : 10/01/2024 and End Date : 10/09/2027

Instalment No(s)	From Date	To Date	Monthly SIP Instalment Amount	SIP Top-Up Amount	SIP Amount with TOP-UP
1 to 12	10-Oct-23	10-Sep-24	5000	N.A	5000
13 to 24	10-Oct-24	10-Sep-25	5000	1000	6000
25 to 36	10-Oct-25	10-Sep-26	6000	1000	7000
37 to 48	10-Oct-26	10-Sep-27	7000	N.A	7000
49 to 60	10-Oct-27	10-Sep-28	7000	N.A	7000

Variable TOP-UP: With this option, investors can increase SIP amount at regular interval, TOP-UP amount will be based on the percentage (%) opted by investor of SIP amount. For said option SIP TOP-UP frequency is at half yearly and yearly basis. The minimum TOP-UP percentage (%) should be 10% and in multiple of 5% thereof. Also, the TOP-UP amount will be rounded off to the nearest highest multiple of Rs.10.

Please view the illustration below for Variable Top-up.

- SIP Tenure: 10 Oct 2023 to 10 Sept 2028 • Monthly SIP Installment: Rs. 5000/-
- Top Up % : 10 % • Top Up Frequency: Yearly
- Top-up Start Date : 10/01/2024 and End Date : 10/09/2027

Instalment No(s)	From Date	To Date	Monthly SIP Instalment Amount	SIP Top-Up Amount	SIP Amount with TOP-UP
1 to 12	10-Oct-23	10-Sep-24	5000	N.A	5000
13 to 24	10-Oct-24	10-Sep-25	5000	500	5500
25 to 36	10-Oct-25	10-Sep-26	5500	550	6050
37 to 48	10-Oct-26	10-Sep-27	6050	N.A	6050
49 to 60	10-Oct-27	10-Sep-28	6050	N.A	6050

Investors can either opt for a Fixed Top-up facility or Variable Top-up facility under SIP Top-up. In case, Investor opts for both the options, then Variable top-up feature shall be triggered. In case the TOP UP facility is not opted by ticking the appropriate box and/or frequency is not selected, the TOP UP facility may not be registered.

In a scenario where investors select multiple % option under variable SIP Top-up plan, higher percentage will be considered and the other % will be ignored.

Micro Systematic Investment Plan (Micro SIP): The unit holder will have the facility of Micro SIP under the current Systematic Investment Plan facility. The Minimum Investment amount per installment will be as per applicable minimum investment amount of the respective Scheme. The total investment under Micro SIP cannot exceed Rs. 50,000/-.

Micro Investment: With effect from October 30, 2012, where the aggregate of the lump sum investment (fresh purchase & additional purchase) and Micro SIP installments by an investor in a financial year i.e April to March does not exceed 50,000/- it shall be exempt from the requirement of PAN. However, requirements of PEKRN (KYC) shall be mandatory. Accordingly, investors seeking the above exemption for PAN still need to submit the KYC Acknowledgement, irrespective of the amount of investment. This exemption will be available only to Micro investment made by the individuals being Indian citizens (including NRIs, Joint holders, minors acting through guardian and sole proprietary firms). PIOs, HUFs, QFIs and other categories of investors will not be eligible for this exemption.

INSTRUCTIONS TO FILL THE NACH DEBIT MANDATE FORM

1. Along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate, failing which registration may not be accepted. The Unit holder(s) cheque/bank account details are subject to third party verification.
2. Please mention the amount in figures and words.
3. Please fill in all the required details in the Debit Mandate Form for NACH. The sole/first holder must be one of the holders in the bank account.
4. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled in by the investors.
5. The 9-digit MICR and the 11-digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

As per the Mandate circular (NPCI/NACH/OC No. 010/2023-24) dated October 31, 2023, effective April 1, 2024, the mandate can be for maximum duration of 40 years from date of application.

Mutual Fund investments are subject to market risks, read all scheme-related documents carefully.

INVESTMENT & PAYMENT DETAILS:

a. Your cheques should be in favour of scheme specific account for example, "**Helios Flexi Cap or Helios Large & Mid Cap**" and crossed "Account Payee Only".
b. Initial cheque amount should be equal to Total SIP amount
c. Please ensure that the investment cheque issued by you complies with CTS 2010 requirement Stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque. Payments made by Cash /Money Order/Postal Order, Non- MICR cheques Outstation cheques are not accepted.

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category / investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.